Name & Signature of the Occupier/
Place: **Authorized Signatory**

Mandatory Documents to be enclosed for grant of Consent to Operate:

1. **Licenses / Certificates:**

(a) Legal Status of Company:
i. Partnership / Proprietary / Company etc.; or
ii. SSI / MSME Certificate (Udyog Aadhar) / Memorandum of Entrepreneurship, if applicable;
(b) Location of the Project:
i. Industrial Area: Allotment letter from the respective Industrial Area Development Board / Corporation / Land Possession Certificate; or
ii. Other than Industrial Area: Registered Land Deed / Land Conversion Certificate from concerned Authority / Rent (or) Lease Agreement in case of the property is on rent / lease;
(c) Mining Project: Mineral Mining Lease permission granted by the Department of Mines SS & Geology, if applicable;
(d) Environmental Clearance granted by Central Government or State Environment Impact Assessment Authority, if applicable, under the notification of the Government of India number S.O.1533 (E), dated the 14th September, 2006 issued under the Environment (Protection) Act, 1986 (29 of 1986);
(e) Investment: Charted Accountant Certificate about proposed Capital Investment.

2. **Technical Details:**

i. Environmental Impact Assessment Report, submitted to the Central Government or State Environment Impact Assessment Authority under the notification of the Government of India number S.O.1533 (E), dated the 14th September, 2006 issued under the Environment (Protection) Act, 1986 (29 of 1986); or
ii. Project report comprising manufacturing process (write up with flow chart), raw materials, products, by-products, extent of land, water source and consumption for various purpose, wastewater generation from various activity, effluent treatment plant (write up with flow diagram), Water Balance, Fuel used, Sources of emission and air pollution control devices proposed, D.G. sets and hazardous and other waste generation along with plant layout plan.

3. **Compliance report of the consent to establish / consent to operate for expansion and renewal, as applicable.**

THE SECOND SCHEDULE

[See paragraph 3(1)]

A. Annual Fees applicable for Consent:

1. **Capital investment slabs**. - The Capital Investment slabs are as follows: -

(a) Rs. 1 Crore and below

(b) Exceeding Rs. 1 Crore but not exceeding Rs. 10 Crore

(c) Exceeding Rs. 10 Crore but not exceeding Rs.50 Crore

(d) Exceeding Rs. 50 Crore but not exceeding Rs.250 Crore

(e) Exceeding Rs. 250 Crore but not exceeding Rs.500 Crore

(f) Exceeding Rs. 500 Crore but not exceeding Rs.1000 Crore

(g) Exceeding Rs. 1000 Crore

2. **Annual Fee for Industrial plants. –** (a) The annual fee for grant of consent is determined based on the capital investment and categorization of the industrial plant, using the following formula, as follows: -

CF = CI * SF * PIF

Where,

- CF : Annual Fee for consent (in Rs.)
- CI :CapitalInvestment(inRs.)
- SF : Scale Factor (based on Capital Investment)
- PIF : Pollution Index Factor (based on category)

(b) The Scale Factor (SF) according to capital investment slabs is as under: -

S. No.	Capital Investment	SF
(1)	(2)	(3)
(i)	Rs. 1 Crore and below	0.100%
(ii)	Exceeding Rs. 1 Crore but not exceeding Rs.10 Crores	0.080%
(iii)	Exceeding Rs. 10 Crores but not exceeding Rs.50 Crores	0.060%
(iv)	Exceeding Rs. 50 Crores but not exceeding Rs.250 Crores	0.040%
(v)	Exceeding Rs. 250 Crores but not exceeding Rs.500 Crores	0.030%
(vi)	Exceeding Rs. 500 Crores but not exceeding Rs.1000 Crores	0.020%
(vii)	Exceeding Rs. 1000 Crores	0.010%

(c) The Pollution Index Factor (PIF) based on categorization of Industry is as under: -

S. No.	Category of Industrial	PIF
(1)	(2)	(3)
(i)	Green	1.00

(ii)	Orange	1.50
(iii)	Red	2.00

(d) The maximum annual fee of the preceding slab shall serve as the base fee for the next slab, with the Scale Factor (SF) applicable on the difference amount. The details are as under: -

Annual Fee (CF) = Max. fee of the preceding slab + {(Diff. of CI) * SF * PIF}

(e) The minimum annual fee for grant of consent shall be Rs.5,000, Rs. 7,500 and Rs. 10,000 for Green, Orange and Red Category industry / activity respectively.

3. **Annual Fee for local bodies and infrastructure projects (residential and others).** - (a) The capital investment for the purpose of determining annual fee for consent to establish w.r.t. infrastructure projects, as covered under the notification of the Government of India number S.O.1533 (E), dated the 14th September, 2006, as amended from time to time, shall be based on the capital investment during the establishment phase. This includes Residential (Standalone Apartment / complexes), Layouts, Integrated projects, Commercial Complex, Office Complex, Education Institutions, Township and Local Body including Water Supply and Sewerage Board. The annual fee for Consent to Establish shall be calculated using the formula adopted for the industrial plants, as above at para. 2 above.

(b) The annual fee for consent to operate of the projects at sub para (a) above, shall be based on the quantity of sewage generated / handled, as given below: -

S. No.	Sewage generated /handled	Annual Fee for Consent to Operate	
		Local Bodies and Residential (Rs.)	Other than Residential (Rs.)
(1)	(2)	(3)	(4)
1	Up to 10 KLD	5,000	7,500
2	Above 10 - 50 KLD	15,000	22,500
3	Above 50 - 100 KLD	25,000	37,500
4	Above 100 - 300 KLD	35,000	52,500
5	Above 300 - 500 KLD	55,000	82,500
6	Above 500 - 1 MLD	65,000	97,500
7	Above 1 to 5 MLD	75,000	1,12,500
8	Above 5 to 10 MLD	1,00,000	15,00,00
9	Above 10 MLD to 25 MLD	2,00,000	30,00,00
10	Above 25 MLD	4,00,000	60,00,00

4. **Annual Fees for mining projects.** – (a) The annual fee for grant of consent for mining project / activity will be determined based on the consented capacity of the

mineral to be mined, the type of mineral, the mining area, and the type of mining. The fee shall be calculated using the following formula -

CF = CC * MF * AF * TMF

Where,

- CF: Annual Consent fees (in Rs.)
- CC: Consented Capacity of Mineral to be mined (in Tonne / Annum)
- MF: Mineral Factor (based on type of Mineral)
- AF: Area Factor (in Rs. based on mining area)
- TMF: Type of Mining Factor (based on type of mining)

Note: Minimum fees: Rs. 5,000 per annum

(b) The Mineral Factor (MF) based on type of mineral mined are:

S. No.	Minerals	Mineral Factor (MF)
1	Manganese, Chromite, Steatite, Barites, Mica, Gold, Uranium, Silver, Copper, Lead, Zinc	1.0
2	Iron, Bauxite, Coal	0.8
3	Dolomite, Limestone, Gypsum, Feldspar, Garnet, Quartz, Silica State Stone, Bentonite, Pyropylite, Graphite, Phosphorite, Clays – China, White, Fire and Ball	0.6
4	Other minerals such as stone quarry, Granite, Marble, River Sand / River bed material etc.	0.4

(c) The Area Factor (AF) based on mining area:

S. No.	Lease hold area in ha	Area Factor (AF)
1	Up to 5	1.0
2	Above 5 to 25	1.2
3	Above 25 to 100	1.4
4	Above 100 to 500	1.6
5	Above 500	1.7

(d) The Type of Mining Factor (TMF) based on types of mining:

S. No.	Types of Mining	Types of Mining Factor (TMF)
1	Open cast mining	1.25
2	Underground mining	1.00

5. **Annual Fees for coffee pulping activities. - (**a) The annual fee for coffee pulping shall be determined on the basic fee and pulping factor, taking into account the types of pulping (i.e. wet and dry pulping) irrespective of capacity of operation, as it is a seasonal production. The fees is calculated using the following formula -

CF = BF * PF

Where,

- CF: Fee (in Rs)
- BF: Basic Fee (i.e. Rs. 2,500 per annum)
- PF: Pulping Factor (based on type of pulping)

(b) The Pulping Factor (PF) based on the type of pulping:

S. No.	Types of Pulping	Pulping Factor (PF)
1	**2**	**3**
1	Wet pulping	1.25
2	Dry pulping	1.00

6. **Annual Fees for Aqua Culture activities**. – The annual fee for aqua culture shall be determined based on the lease hold area, and the fees as follows : -

S. No.	Lease hold area	Fees (Rs.)
1	Up to 5 Ha	Nil
2	Between 5 to 25 Ha	5,000
3	Above 25 to 100 Ha	25,000
4	More than 100 Ha	1,00,000

7. **Annual Fees for establishment having diesel generator as the only source**. For any industrial plant having diesel generator set as the only source of air pollution, the annual fee will be as under-

S. No.	Rating of diesel generator set	Fee (Rs.)
1	**2**	**3**
1	≥ 250 KVA	Nil
2	250 KVA to ≤500 KVA	1,000
3	500 KVA to ≤1 MVA	2,000
4	>1 MVA	5,000

8. **Incentives to industrial plants. -** The industrial plants that adopt environmental conservation measures to reduce water, air and land pollutions, conserve natural resources (resource consumption per unit production) and undertake voluntary initiatives without directions of the Central Board or State Board to protect the environment using best technologies, cleaner technology, achieving levels below the national or location specific standards, shall be identified. Further Incentives may be given by the State Board after consulting the Central Board.

B. Fee for consent to Establish: The fee for obtaining consent to establish shall not exceed twice the annual fee of consent as prescribed in this Schedule.

C. Fee for consent to operate: The fee for obtaining consent to operate shall not be more than that determined by multiplying annual fee of consent and period of consent as given in para 4(3) of these guidelines.

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